

APR 14 2006



HAMRE, SCHUMANN, MUELLER & LARSON, P.C.

AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION April 14, 2006

TO: Mail Stop: AMENDMENT
Examiner: CRIADO
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.0772US01

TELEPHONE: (612) 455.3800

Total pages, including cover letter: 5

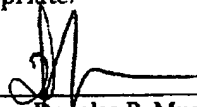
PTO FAX NUMBER: 571.273.8300

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Title of Document: **Supplemental Information Disclosure Statement**
Form PTO 1449 and Form PTO 892

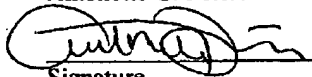
Applicant: WADA et al.
Serial No.: 09/911,143
App. Filed: July 23, 2001
Group Art No.: 2656
Conf. No.: 4482

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: 
Name: Douglas P. Mueller
Reg. No. 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Antonette C. Peters


Signature

April 14 2006
Date

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APR 14 2006

S/N 09/911,143

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	WADA et al.	Examiner:	CRIADO
Serial No.:	09/911,143	Group Art Unit:	2656
Filed:	July 7, 2001	Docket No.:	10873.0772US01
Title:	OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL RECORDING/REPRODUCING APPARATUS AND OPTICAL RECORDING/REPRODUCING METHOD		

CERTIFICATE UNDER 37 CFR 1.6(d)

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on April 14, 2006.

By: 

Name: Antonette C. Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT(37 C.F.R. § 1.97(b))

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The reference cited herein was recently cited in a Notice of Allowance issued in a co-pending case, Serial No. 11/204,270, mailed April 6, 2006.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. § 1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from the US patent office in a co-pending application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 11/204,270, filed on August 15, 2005. A copy of the Form PTO 892 is enclosed for the Examiner's reference.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

53148

PATENT TRADEMARK OFFICE

Dated: April 14, 2006

Respectfully submitted,

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APR 14 1963

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.0772US01	Application Number: 09/911,143
	Applicant: WADA et al.	
	Filing Date: July 23, 2001	Group Art Unit 2656

[illegible]

53148

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Notice of References Cited	Application/Control No. 11/204,270	Applicant(s)/Patent Under Reexamination WADA ET AL.	
	Examiner Loha Ben	Art Unit 2873	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-5,122,888	06-1992	Iizuka et al.	349/200
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)			
	U				
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	X				

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office
PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 0406